

Ian J Sutherland

Regulatory Change Specialist

Profile

A highly versatile and innovative programme management professional, specialising in the mobilization, delivery and management of complex business and regulatory change. Has extensive experience of shaping and leading regulatory and transformational initiatives eg: AIFMD, EMIR, FATCA and Dodd Frank and a proven track record of interpreting requirements, managing diverse stakeholder communities and applying effective governance to business and technology change.

Highly successful in leading large change initiatives combining both real and virtual teams up to 100 members globally. Overall, a focused and pragmatic team player with highly developed communication skills to board level and the ability to apply a strategic and agile approach to resolving difficult regulatory issues.

February 2011 to date – Consulting and Advisory Roles

This work has included:

June 2014 to December 2014: Barclays, Regulatory Change Consultant

Provided regulatory expertise to Investment Bank's Operational Compliance team on EMIR, principally EMIR categorisation and risk mitigation. Partnered with permanent staff in supporting change initiatives with the interpretation and articulation of complex requirements.

May 2014 to June 2014: Old Mutual Global Investors, Regulatory Change Consultant

Provided SME knowledge relating to EMIR and AIFMD reporting, delivering high level business analysis, regulatory interpretation and helping shape change approach and project plans.

August 2012 to June 2014: Baring Asset Management, Programme Manager, Regulatory Change

Project/programme managed a portfolio of regulatory change (FATCA, Dodd Frank, EMIR, AIFMD, FTT and other regulatory initiatives) under extended contract.

Other Engagements

- advice and support to a major corporate banks on best practice programme governance, supplying documented frameworks and template documents for immediate use;
- Support to the business development of larger management consultancies including a proposal to create target operating model for global reference data management; and
- Conference content as speaker and panel member on AIFMD and OTC Derivatives.

2004 to February 2011: Aviva Investors (Formerly Morley Fund Management) PORTFOLIO AND PROGRAMME MANAGER

Worked closely with senior/C-level management to understand, shape and deliver their short, medium and long term change agendas.

- Shaped and led a major operational transformation programme within the Real Estate Investment business, including outsourcing, organisational and cultural change.

- Led the final 12 months of a programme building an enhanced Derivatives capability for investment managers.
- Established effective working model involving off shore IT outsource partner for delivery of change initiatives.
- As Head of Corporate Programme Office established a centre of excellence and thought leadership around the planning, governance and delivery of £30m pa portfolio of change.

2003 to 2004: Brown Shipley & Co. (Private Banking)

PROGRAMME and PROJECT MANAGEMENT

Hired as experienced programme manager to strengthen and build Brown Shipley's change management capability.

2002 to 2003: National Australia (Bank) Group, Wealth Management

SENIOR PROGRAMME MANAGER

Hired to re-mobilise and manage a major (£40m) corporate initiative after the initial phase failed.

1999 to 2002: Credit Lyonnais

CONSULTANT/PROJECT MANAGER

Designed solution and project managed international delivery of a CRM/Knowledge Management solution to a key global marketing group.

1988 to 1999: Robert Fleming & Co

SENIOR LINE MANAGER AND CHANGE LEAD (1996-1999)

Re-engineered (people, process and systems) Flemings' reference data function creating one of the first global solutions in support of a major Investment Banking back office transformation.

BUSINESS MANAGER - GLOBAL TRADING & BROKING (1990-1996)

INTERNAL CHANGE CONSULTANT (1988-1990)

Education & Training

- Honours Degree, Natural Science (Physics) - Jesus College, Oxford
- Project Management – Institute of Management Services
- Applied Investment Management Programme – CASS/Aviva

Additional Information

- In 2013 lectured on organisational design at Henley Management College and spoke at a Derivatives conference on the impact of AIFMD.
- Will be panel member at TSAM Europe in March.

Personal Information

- Married with 1 child
- Enjoys excellent health & fitness
- First class references available on request.